



**2008-2009**

**STATE CAMPAIGN**

**REPORTING**

**FORMS**

PRINTED BY THE  
ETHICS COMMISSION  
2300 North Lincoln Boulevard, Room B5  
Oklahoma City, OK 73105-4812  
(405) 521-3451 \* FAX (405) 521-4905

Revised March 2008

## **NOTICE**

**These forms are for copying.  
Do not use them or tear them out of the book.  
Forms will not be mailed to you with reminders  
and should be copied from this booklet  
each time one is due.  
Thank you for your efforts to comply with the  
constitutional ethics rules.**

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**CONTRIBUTOR STATEMENT FOR INDIVIDUAL**

[74 Oklahoma Statutes, Ch. 62 App. § 257:10-1-2(c)]

*Required for single contributions exceeding \$50 or multiple contributions from a single source exceeding \$50 in the aggregate*

TO BE RETAINED BY COMMITTEE--NOT TO BE FILED WITH ETHICS COMMISSION

1. CONTRIBUTOR'S NAME [last, first, middle initial]: \_\_\_\_\_

2. ADDRESS: [ ] Check if different than previously given \_\_\_\_\_

3. OCCUPATION [e.g. "retail sales clerk"] | 4. EMPLOYER [e.g. "Dillard"] or PRINCIPAL BUSINESS ACTIVITY \_\_\_\_\_

5. CONTRIBUTION		
Date given	Description (in-kind only)	Amount or Fair market value

6. DECLARATIONS:  
The contribution listed in item 5 is for a campaign in the State of Oklahoma, and the contribution was freely and voluntarily given by me from my personal property. I have not, directly or indirectly, been compensated or reimbursed for the contribution listed in item 5.

7. SIGNATURE OF CONTRIBUTOR: \_\_\_\_\_

> for an individual <

< for a committee or other person [e.g. association, partnership, corporation (issue campaigns) etc].

**CONTRIBUTOR STATEMENT FOR COMMITTEE OR PERSON Other Than An Individual\***

\* e.g. association, partnership, corporation (issue campaigns only)

*Required for single contributions exceeding \$50 or multiple*

[74 Oklahoma Statutes, Ch. 62 App. § 257:10-1-2(c)]

*contributions from a single source exceeding \$50 in the aggregate*

TO BE RETAINED BY COMMITTEE--NOT TO BE FILED WITH ETHICS COMMISSION

1. CONTRIBUTOR'S NAME: \_\_\_\_\_ ETHICS COMMISSION NUMBER \_\_\_\_\_

2. ADDRESS: [ ] Check if different than previously given \_\_\_\_\_

3. PRINCIPAL INTEREST or PRINCIPAL BUSINESS ACTIVITY: \_\_\_\_\_

4. CONTRIBUTION:		
Date given	Description (in-kind only)	Amount or Fair market value

5. DECLARATIONS: The contribution listed in item 4 is for a campaign in the State of Oklahoma, and the contribution was freely and voluntarily given by the above named committee or person from the committee's or person's property. The committee or person has not, directly or indirectly, been compensated or reimbursed for the contribution listed in item 4 by persons other than those from whom contributor statements have been received and of whom disclosure has or will be made, or, if from a person exempt from the definition of political action committee, by other persons.

6. SIGNATURE OF PERSON \_\_\_\_\_ OR TREASURER \_\_\_\_\_  
[individual authorized to sign on behalf of person] [or Deputy Treasurer in the Treasurer's absence]

**CONFIDENTIAL REPORT FOR ILLINOIS**

(Required for all cases involving a child, a child's parent, or a child's caregiver)

---

1. Name of the child: \_\_\_\_\_

---

2. Date of birth: \_\_\_\_\_

---

3. Sex: \_\_\_\_\_

---

4. Race: \_\_\_\_\_

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5. Ethnicity: \_\_\_\_\_

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6. Address: \_\_\_\_\_

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7. City: \_\_\_\_\_

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8. State: \_\_\_\_\_

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9. Zip: \_\_\_\_\_

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10. Date of report: \_\_\_\_\_

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11. Name of reporter: \_\_\_\_\_

---

12. Title of reporter: \_\_\_\_\_

---

13. Agency: \_\_\_\_\_

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14. Phone number: \_\_\_\_\_

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15. Fax number: \_\_\_\_\_

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16. Email address: \_\_\_\_\_

---

17. Signature: \_\_\_\_\_

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18. Date of signature: \_\_\_\_\_

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**CONFIDENTIAL REPORT FOR ILLINOIS**

(Required for all cases involving a child, a child's parent, or a child's caregiver)

---

1. Name of the child: \_\_\_\_\_

---

2. Date of birth: \_\_\_\_\_

---

3. Sex: \_\_\_\_\_

---

4. Race: \_\_\_\_\_

---

5. Ethnicity: \_\_\_\_\_

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6. Address: \_\_\_\_\_

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7. City: \_\_\_\_\_

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8. State: \_\_\_\_\_

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9. Zip: \_\_\_\_\_

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10. Date of report: \_\_\_\_\_

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11. Name of reporter: \_\_\_\_\_

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12. Title of reporter: \_\_\_\_\_

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13. Agency: \_\_\_\_\_

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14. Phone number: \_\_\_\_\_

---

15. Fax number: \_\_\_\_\_

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16. Email address: \_\_\_\_\_

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17. Signature: \_\_\_\_\_

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18. Date of signature: \_\_\_\_\_

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## CONTRIBUTOR STATEMENT FOR FUNDRAISERS

[74Oklahoma Statutes, Ch. 62 App., § 257:10-1-2(c)]

*Required for single contributions exceeding \$50 or multiple contributions from a single source exceeding \$50 in the aggregate  
TO BE RETAINED BY COMMITTEE--NOT TO BE FILED WITH ETHICS COMMISSION*

EVENT: \_\_\_\_\_ LOCATION: \_\_\_\_\_

DATE OF EVENT: \_\_\_\_\_ SPONSOR: \_\_\_\_\_

HOST: \_\_\_\_\_ CO-HOST: \_\_\_\_\_

**DECLARATION:** My signature below signifies that the contribution shown is for a campaign in the State of Oklahoma and the contribution was freely and voluntarily given by me, the committee or person from my personal, the committee's or the person's property. I, the committee or person have not directly or indirectly, been compensated or reimbursed for the contribution listed below, other than by persons from whom contributor statements have or will be received, if in excess of \$50, and of whom disclosure has or will be made [if from a person exempt from the definition of political action committee, disclosed by other persons or committees]. [parenthetical language is for contributors which are persons other than individuals]

X SIGNATURE of contributor* * Print name of contributor- Ethics Comm. Num. street address [other than individual] city, state and zip code	Occupation [e.g. "retail sales clerk"]	Employer [e.g. "Dillard"] or principal business activity or principal interest of contributor of more than \$50	DATE contribution was given	AMOUNT OF MONETARY CONTRIBUTION	IN KIND [describe and give fair market value]
x					
x					
x					
x					
x					
x					
x					

\* This form is used in lieu of the Contributor Statement For Individual or Contributor Statement For Committee or Person.  
 \*\* If the contributor is a committee the treasurer, or deputy treasurer in the treasurer's absence, must sign on its behalf; if the committee is a person other than an individual [an association, partnership, corporation (issue committees only), etc.], then individual(s) authorized on its behalf must sign and give his or her office or position.



# STATEMENT OF ORGANIZATION

## For Candidate Committees

FORM <b>S0-1</b> REV 3/08	EC OFFICE USE
<b>AMENDED</b> [ ] Yes [ ] No	
Number Assigned by Ethics Commission	

74 Oklahoma Statutes, Ch. 62, App., § 257:10-1-8 and 10-1-12(a)  
Please type or print clearly in black ink.

1. **NAME OF CANDIDATE** [last, first, middle]:

2. **FULL NAME OF CANDIDATE'S COMMITTEE** [include year of general or special general election]:

3. **PARTY AFFILIATION, IF ANY, OF CANDIDATE:**

4. **CANDIDATE COMMITTEE AND CANDIDATE MAILING ADDRESS, ELECTRONIC MAILING ADDRESS, AND DAYTIME TELEPHONE NUMBER:**

Committee mailing address	Daytime Telephone number	Candidate residence address	Daytime Telephone Number
City State Zip		City State Zip	
Electronic Mailing Address		Electronic Mailing Address	

5. **DATE COMMITTEE WAS ORGANIZED:**

6. **OFFICE BEING SOUGHT** [position, district, office]:

7. **IS THIS A SPECIAL ELECTION?** [ ] Yes [ ] No

8. **YEAR OF THE GENERAL ELECTION OR SPECIAL GENERAL ELECTION TO FILL OFFICE:** Year \_\_\_\_\_

9. **OFFICERS:** Give the full name, mailing address, electronic mailing address, daytime telephone number and principal place of business of officers.

Office	Name [last, first, middle initial]	Mailing address [street address, city, state, zip code] and electronic mailing address	Daytime Telephone number	Principal place of business
Chair				
		E-mail:		
Treasurer				
		E-mail:		
Deputy Treasurer <small>[if appointed]</small>				
		E-mail:		

10. **NOTICE OF REPORTS AND LATE FILINGS:** Check title of person who is to receive notices of required filings and late filing violations:  
Check only one: [ ] Treasurer [ ] Deputy Treasurer [ ] Chair [ ] Candidate

11. **DEPOSITORIES:** Please list the full name and address of each depository in which the committee will maintain a campaign account:

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12. **USE OF SURPLUS FUNDS:** We hereby declare the committee's intent to use surplus funds from this campaign as follows. No surplus funds collected by this committee will be used for any purpose other than those specified in this item prior to amending this statement.

[ ] **All of the permitted uses** [ ] Return to contributors [ ] Deposit to state general fund

[ ] Contribute to charitable organization [ ] Retain for candidate's future election campaign

[ ] Use for legal defense [ ] Use for community activities

[ ] Transfer to a political party [ ] Use for political activity

NOTE: Check one or any combination of the applicable boxes.

13. **CERTIFICATION:** I certify that the above named officers have accepted their appointments and that the information reported on this form is true, complete and correct.

CANDIDATE'S Signature [or electronic signature in lieu thereof]

**ETHICS COMMISSION**

2300 North Lincoln Blvd, Rm B-5  
Oklahoma City, Oklahoma 73105-4812  
(405) 521-3451 \* FAX (405) 521-4905

FORM <b>SO-1</b> REV 3/08	<b>STATEMENT OF ORGANIZATION</b>
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**INSTRUCTIONS**

Please consult the Constitutional Ethics Rules [Section 257:1-1-1 et seq. of the Rules of the Ethics Commission, 74 Oklahoma Statutes, Ch. 62, App.] when completing this statement. If you have questions, contact the Ethics Commission (405) 521-3451.

**WHO MUST FILE:**

Candidates or committees which have received contributions or made expenditures of \$500 or more in support of or in opposition to state candidates or ballot measures and candidates who have filed declarations of candidacy.

**WHEN TO FILE:**

- Candidate committees ----- no later than 10 days after accepting or expending \$500 or filing a declaration of candidacy, whichever is earlier
- Other committees ----- no later than 5 days after receiving or expending in excess of \$500 and between January 1-31 of each year thereafter
- When changes to original statement occur --- no later than 10 days after a change (except officer vacancies)
- Officer vacancies ----- notify Commission no later than 5 business days after vacancy occurs
- Filling officer vacancy ----- provide all information required for an officer on SO-1 or SO-2 to Commission no later than 5 business days after appointment

**WHERE TO FILE:**

Ethics Commission, 2300 N Lincoln Blvd, Rm B-5, Oklahoma City, OK 73105-4812 (405) 521-3451 ! FAX (405) 521-4905

**MAXIMUM CONTRIBUTIONS FROM A PERSON OR FAMILY OR ANOTHER COMMITTEE:**

- \$5,000 to a state candidate or candidate committee per campaign
- \$5,000 to committees **other than** candidate committees per calendar year
- \$5,000 to a county or municipal candidate or candidate committee [if county or municipality has over 250,000 population]
- \$1,000 to other county, municipal or school board candidates or candidate candidates

Maximum limits to candidates or candidate committees are for the entire campaign (from the first contribution or expenditure to the last) while maximum limits to other committees are for calendar years. No candidate or candidate committee may receive more than the maximum allowable contribution from a contributor during a campaign. No committee may receive more than the maximum allowable contribution during a calendar year.

**CASH CONTRIBUTIONS:**

- Individuals Giving cash contributions of \$50 or less allowed  
Written instrument containing name of donor and payee required for contributions exceeding \$50
- Candidates or committees Acceptance of cash contributions of more than \$50 prohibited
- Committees Giving cash contributions [transfers] prohibited; written instrument required

**ANONYMOUS CONTRIBUTIONS:**

A person shall not make to a committee and a committee shall not accept an anonymous contribution in excess of \$50. The recipient of an anonymous contribution shall not keep an anonymous contribution in excess of \$50, but shall within two business days of receipt remit the contribution to the Commission for immediate deposit to the General Revenue Fund.

**CORPORATE CONTRIBUTIONS:**

- Contributions to candidates or candidate committees or political parties ----- Prohibited
- Contributions to committees supporting or opposing candidates ----- Prohibited
- Contributions to committees solely supporting or opposing ballot measures or local questions----- Allowed
- Administrative expenses to the corporation's own political action committee ----- Allowed

**OTHER REPORTS:**

- campaign contributions and expenditures [Form C-1R] statement of inactivity [Form C-3R]
- last minute contributions reports [Form C-4R] verification of C-1R, C-3R or C-4R [Form C-VR]

# STATEMENT OF ORGANIZATION

## For Committees [non-candidate]

[74 Oklahoma Statutes, Ch. 62, App., § 257:10-1-11 and 10-1-12(b)]  
 Please type or print clearly in black ink.

FORM <b>S0-2</b> REV 3/08	EC OFFICE USE
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1. FULL NAME OF COMMITTEE (may not include the name of a candidate):	AMENDED [ ] Yes [ ] No
--	---------------------------

2. COMPLETE MAILING ADDRESS, E-MAIL ADDRESS AND TELEPHONE NUMBER OF COMMITTEE:			Number Assigned by Ethics Commission
Mailing address	Daytime telephone number		
City	State	Zip	Electronic mailing address

3. DATE COMMITTEE WAS ORGANIZED:	4. TYPE OF COMMITTEE:		
	<input type="checkbox"/> Political Action Committee <input type="checkbox"/> Ballot Measure Committee <input type="checkbox"/> Party Committee _____ Year measure is on the ballot		

5. PURPOSE OF COMMITTEE: [if a ballot measure committee, describe ballot measure]	6. FEC NUMBER [if registered with Federal Election Commission]:
---	---

7. NAME AND MAILING ADDRESS OF CORPORATION, LABOR ORGANIZATION, OR OTHER ASSOCIATION WHICH SPONSORS OR IS AFFILIATED WITH COMMITTEE (if no association or organization, give the trade, profession or primary interest of the contributors):

Office	Name [last, first, middle initial]	Mailing address [street address, city, state, zip] and electronic mailing address	Daytime telephone number	Principal place of business
Chair				
		E-mail		
Treasurer				
		E-mail		
Deputy Treasurer [if appointed]				
		E-mail		

9. NOTICE OF REPORTS AND LATE FILINGS: Check title of person who is to receive notices of required filings and late filing violations:  
 Check only one:       Treasurer       Deputy Treasurer       Chair

10. CUSTODIAN OF THE RECORDS (if other than designated officers):	
Full name	Daytime telephone number
Mailing address	Principal place of business
City	State
Zip	E-mail address

11. DEPOSITORIES: Please list the full name and address of each depository in which the committee will maintain a campaign account.	12. STATEMENT OF INTENT: The above committee: (check one) <input type="checkbox"/> INTENDS <input type="checkbox"/> DOES NOT INTEND to accept contributions or to make expenditures in excess of \$500 in the aggregate to support or oppose state candidates or state ballot measures during the calendar year for which this statement is being filed.
---	--

13. USE OF SURPLUS FUNDS: We hereby declare the committee's intent to use surplus funds from this campaign as follows. No surplus funds collected by this committee will be used for any purpose other than those specified prior to amending this statement.

<input type="checkbox"/> All of the permitted uses	<input type="checkbox"/> Return to contributors
<input type="checkbox"/> Donate to another committee (ballot measure committees may donate to ballot measure committees only)	<input type="checkbox"/> Deposit to state general fund

NOTE: Check one or any combination of the applicable boxes.

14. CERTIFICATION: I certify that the above named officers have accepted their appointments and that the information reported on this form is true, complete and correct.

TREASURER'S Signature [or electronic signature in lieu thereof]

X

**ETHICS COMMISSION**

2300 North Lincoln Blvd, Rm B-5  
Oklahoma City, Oklahoma 73105-4812  
(405) 521-3451 ! FAX (405) 521-4905

FORM <b>SO-2</b> REV 3/08	<b>STATEMENT OF ORGANIZATION</b>
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**INSTRUCTIONS**

Please consult the Constitutional Ethics Rules [Section 257:1-1-1 et seq. of the Rules of the Ethics Commission, 74 Oklahoma Statutes, Ch. 62, App.] when completing this statement. If you have questions, contact the Ethics Commission (405) 521-3451.

**WHO MUST FILE:**

Committees which have received contributions or made expenditures of \$500 or more in support of or in opposition to state candidates or ballot measures and candidates who have filed declarations of candidacy.

**REGISTRATION FEE**

\$50 filing fee must accompany Form SO-2 each year

**WHEN TO FILE:**

- Candidate committees ----- no later than 10 days after accepting or expending \$500 or filing a declaration of candidacy, whichever is earlier
- Other committees ----- no later than 5 days after receiving or expending in excess of \$500 and between January 1-31 of each year thereafter
- When changes to original statement occur --- no later than 10 business days after a change (except officer vacancies)
- Officer vacancies ----- notify Commission no later than 5 business days after vacancy occurs
- Filling officer vacancy ----- provide all information required for an officer on SO-1 or SO-2 to Commission no later than 5 business days after appointment

**WHERE TO FILE:**

Ethics Commission, 2300 N Lincoln Blvd, Rm B-5, Oklahoma City, OK 73105-4812 (405) 521-3451 ! FAX (405) 521-4905

**MAXIMUM CONTRIBUTIONS FROM A PERSON OR FAMILY OR ANOTHER COMMITTEE:**

- \$5,000 to a state candidate or candidate committee per campaign
- \$5,000 to committees **other than** candidate committees per calendar year
- \$5,000 to a county or municipal candidate or candidate committee [if county or municipality has over 250,000 population]
- \$1,000 to other county, municipal or school board candidates or candidate candidates

Maximum limits to candidates or candidate committees are for the entire campaign (from the first contribution or expenditure to the last) while maximum limits to other committees are for calendar years. No candidate or candidate committee may receive more than the maximum allowable contribution from a contributor during a campaign. No committee may receive more than the maximum allowable contribution during a calendar year.

**CASH CONTRIBUTIONS:**

- Individuals Giving cash contributions of \$50 or less allowed  
Written instrument containing name of donor and payee required for contributions exceeding \$50
- Candidates or committees Acceptance of cash contributions of more than \$50 prohibited
- Committees Giving cash contributions [transfers] prohibited; written instrument required

**ANONYMOUS CONTRIBUTIONS:**

A person shall not make to a committee and a committee shall not accept an anonymous contribution in excess of \$50. The recipient of an anonymous contribution shall not keep an anonymous contribution in excess of \$50, but shall within two business days of receipt remit the contribution to the Commission for immediate deposit to the General Revenue Fund.

**CORPORATE CONTRIBUTIONS:**

- Contributions to candidates or candidate committees or political parties ----- Prohibited
- Contributions to committees supporting or opposing candidates ----- Prohibited
- Contributions to committees solely supporting or opposing ballot measures or local questions----- Allowed
- Administrative expenses to the corporation's own political action committee ----- Allowed

**OTHER REPORTS:**

- campaign contributions and expenditures report or statement of inactivity [Form C-1R or C-3R]
- last minute contributions reports [Form C-4R] last minute independent expenditures report [Form C-5R]

# AFFIDAVIT OF MINIMAL ACTIVITY

## TO THE ETHICS COMMISSION OF THE STATE OF OKLAHOMA

[74 Oklahoma Statutes, Ch. 62, App., § 257:10-1-13(c)(3)]

STATE OF OKLAHOMA )  
 ) ss  
COUNTY OF \_\_\_\_\_ )

We, the undersigned, after first being duly sworn, hereby certify the following:

- 1. The name of the candidate is \_\_\_\_\_
- 2. The name of the candidate's committee is \_\_\_\_\_

\_\_\_\_\_  
Address \_\_\_\_\_

- 3. The office sought by the candidate is \_\_\_\_\_
- 4. Year of the General or Special General Election \_\_\_\_\_

5. The Committee, in lieu of filing a Statement of Organization [Form SO-1], hereby affirms that it will not accept contributions nor make expenditures exceeding \$500.00 in the aggregate. In the event the Committee later determines either the candidate or the committee will exceed the threshold, it shall file a statement of organization ["Form SO-1"] within five (5) days following the activity and file the next and all succeeding required reports until dissolution.

THEREFORE, the Committee claims exemption from filing campaign contributions and expenditures reports pursuant to Section 257:10-1-13(c)(2) of the Rules of the Ethics Commission, 74 Oklahoma Statutes, Ch. 62, App.

\_\_\_\_\_  
Candidate's Signature

\_\_\_\_\_  
Treasurer's Signature [may be same as candidate]

\_\_\_\_\_  
Political Party

\_\_\_\_\_  
Phone Number

Subscribed and sworn before me this  
\_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

Notary Commission # \_\_\_\_\_

Notary Commission # \_\_\_\_\_

ARTICLE OF MINIMAL ACTIVITY

TO THE BRIDGE COMMISSION OF THE STATE OF OREGON

Having shown cause as follows:

THE STATE OF OREGON

COUNTY OF \_\_\_\_\_

do hereby certify that the following

The name of the corporation is \_\_\_\_\_

The name of the corporation is \_\_\_\_\_

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\_\_\_\_\_

# CAMPAIGN CONTRIBUTIONS AND EXPENDITURES REPORT

74 Oklahoma Statutes, Ch. 62 App., § 257:10-1-13 and 10-1-14] FAX (405) 521-4905

FORM <b>C-1R</b> REV 3/08	EC OFFICE USE
---------------------------------	---------------

1. COMMITTEE NAME					
2. COMMITTEE ADDRESS (number and street)					
CITY, STATE, and ZIP CODE				EC#	FEC#
3a. CANDIDATE COMMITTEES ONLY: Candidate's Name		Office Sought		Year of General or Special General Election	
b. BALLOT MEASURE COMMITTEES ONLY: Title or number of ballot measure supported or opposed		Year when ballot measure is on the ballot			
4. TYPE(S) OF REPORT <input type="checkbox"/> Pre-election <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annual <input type="checkbox"/> Amended <input type="checkbox"/> FINAL					
Period Covered by Report. Beginning _____ Ending _____					
5. CARRY FORWARD amount from line 25 of previous report or transferred from previous campaign If no previous report or transfer from a previous campaign, leave blank.		\$			
		a. Total for this reporting period		b. Total campaign-to-date [candidate committees] or year-to-date [others]	
6. CONTRIBUTIONS ACCEPTED FROM PERSONS OTHER THAN COMMITTEES [see attached schedule A]					
7. CONTRIBUTIONS ACCEPTED FROM COMMITTEES [see attached schedule A1]					
8. LOANS, promissory notes or security agreements received [see attached schedule B]					
9. OTHER RECEIPTS--refunds, rebates, interest, sale of assets, etc. [see attached schedule C]					
10. TOTAL MONETARY RECEIPTS [total lines 6, 7, 8, and 9]					
11. IN-KIND CONTRIBUTIONS [see attached schedule D]					
12. FUNDS received from a corporation, labor organization or other person by its political action committee for establishment and solicitation costs [only applicable to non-candidate committees]					
12a. WRITTEN agreements, promises or pledges to make contributions [see attached schedule D1]					
13. AGGREGATE TOTAL RECEIPTS [total lines 10, 11, 12 and 12a]					
		a. Total for this reporting period		b. Total campaign-to-date [candidate committees] or year-to-date [others]	
14. EXPENDITURES MADE [see attached schedule E]					
15. INDEPENDENT EXPENDITURES [see attached schedule F--candidate committees prohibited from making]					
16. MONETARY TRANSFERS (contributions) to other committees [see attached schedule G]					
17. AGGREGATE TOTAL MONETARY EXPENDITURES [add lines 14, 15 and 16]					
18. IN-KIND TRANSFERS [see attached schedule H]					
19. EXPENDITURES INCURRED owed but not yet paid [see attached schedule I]					
20. BALANCE ON LOANS OWED BY committee [see attached schedule J]					

**CASH SUMMARY:**

21. FUNDS AVAILABLE [repeat amount from line 5]	
22. MONETARY RECEIPTS [repeat line 10, column (a)]	
23. TOTAL MONETARY RECEIPTS [add line 21 and line 22]	
24. DISBURSEMENTS [repeat amount on line 17, column (a)]	
25. FUNDS REMAINING [subtract line 24 from line 23]	

26. **Certification:** To the best of my knowledge and belief, the above is a true and correct compilation. Prior to accepting a contribution requiring a contributor statement during this campaign or calendar year-to-date, the treasurer or deputy treasurer received a contributor statement therefor.

A candidate, or the treasurer for a judicial candidate, must file a written verification [Form C-VR] within five business days of filing this report.

Treasurer's or Deputy Treasurer's Signature	Date
<b>X</b>	<b>X</b>

**ETHICS COMMISSION**

2300 North Lincoln Blvd, Rm B-5  
 Oklahoma City, OK 73105-4812  
 (405) 521-3451

FAX (405) 521-4905

FORM <b>C-1R</b> REV 3/08	<b>CAMPAIGN CONTRIBUTIONS          AND EXPENDITURES          REPORT</b>
---------------------------------	---

**INSTRUCTIONS**

*Please consult the Constitutional Ethics Rules [Section 257:1-1-1 et seq. of the Rules of the Ethics Commission, 74 Oklahoma Statutes, Ch. 62, App.] when completing this report. If you have questions, contact the Ethics Commission (405) 521-3451.*

**WHO MUST FILE:** Each registered committee [formed to support or oppose candidates for state office or ballot measures] must file campaign contributions and expenditures reports [Form C-1R]. A statement of inactivity [Form C-3R] may be filed in lieu of Form C-1R for periods during which the committee has no activity (contributions or expenditures).

**WHEN TO FILE:** Form C-1R's must be filed January 1-31, April 1-30, July 1-31 and October 1-31 of each year by committees supporting or opposing candidates and monthly by the tenth day of each month for ballot measure committees. Both file eight to 14 days before each election in the election cycle in which candidates or ballot measures, which are supported or opposed by the committee, appear on any primary or the general election ballot. Reports must be received by 5:00 p.m. of the last day due. Faxed reports will be deemed timely if received by midnight of the last day. If you successfully fax your report, do **NOT** send the original. The fax will suffice.

**HOW TO FILE:** By US mail, hand delivery, facsimile transmission, telegram or express delivery.

**WHERE TO FILE:** ETHICS COMMISSION, 2300 North Lincoln Blvd, Rm B-5, Oklahoma City, OK 73105-4812 (405) 521-3451 \* FAX (405) 521-4905

**PENALTIES:**  
**Late filing fees [statutory]:** committees supporting or opposing candidates--up to \$100 per day to a max of \$1,000; ballot measure committees up to \$1,000 per day to a max of \$10,000;  
**Inadvertent violations [rules]:** up to \$1,000 for disclosure violations; up to \$2,000 for failure to file/non-disclosure violations; and up to \$15,000 or 3 times the unlawful amount for others;  
**Willful violations [rules]:** up to \$50,000 or, for 3rd and subsequent, three times the unlawful contribution or expenditure, whichever is greater;  
**Criminal penalties [statutory]:** exceeding maximum contribution limits by less than \$5,000-- misdemeanor carrying \$1,000 fine or three times amount exceeding the limit or imprisonment in county jail up to one year or both; by \$5,000 or more -- felony carrying fine of up to four times amount exceeding the limit or imprisonment in State Penitentiary up to one year or both.

**SCHEDULES:**  
 schedule A: contributions from individuals, families or unincorporated entities; A1: from committees  
 schedule B, C & D: loans, other receipts, & in-kind contributions; D1: written agreements to make contributions  
 schedule E, F, and G & H: monetary expenditures, independent expenditures & transfers  
 schedule I & J: expenditures incurred & loans owed by committee

**CASH CONTRIBUTIONS:** Individuals may give cash contributions of no more than \$50. Written instruments are required for contributions exceeding \$50. Candidates or committees may accept cash contributions of no more than \$50. Committees are prohibited from giving cash contributions [transfers]. All transfers from committees must be by written instrument.

**ANONYMOUS CONTRIBUTIONS:** A person shall not make to a committee and a committee shall not accept an anonymous contribution in excess of \$50. The recipient of an anonymous contribution in excess of \$50 shall not keep the contribution, but shall within two business days of receipt remit the contribution to the Commission for immediate deposit to the General Revenue Fund.

**CORPORATE CONTRIBUTIONS:**  
 Contributions to candidates or candidate committees or political parties ----- Prohibited  
 Contributions to committees supporting or opposing candidates ----- Prohibited  
 Contributions to committees supporting or opposing ballot measures ----- Allowed  
 Administrative expenses to the corporation's own political action committee ----- Allowed

**SOLICITATIONS:** Persons accepting contributions must obtain from each contributor from whom more than \$50 is received a contributor statement.

**OTHER FORMS:**  
 contributor statement [individual or committee contributor and fundraiser]  
 statement of organization candidate committees [SO-1]; non-candidate committees [SO-2]  
 statement of inactivity [C-3R]; verification of C-1R, C-3R or C-4R [C-VR]  
 last minute contributions report [C-4R] or last minute independent expenditures report [C-5R]  
 report of electioneering communications [C-6R]













**SCHEDULES D1. WRITTEN AGREEMENTS TO MAKE CONTRIBUTIONS**

**SCHEDULE D1. WRITTEN AGREEMENTS, PROMISES OR PLEDGES TO MAKE CONTRIBUTIONS.** Give the following information for a written agreement, written promise or written pledge to make a dated, undated or postdated contribution.

Name, Ethics Commission number [if a committee], and address of potential contributor	Occupation and employer or principal interest or principal business activity (if no employer)	Date, if any, of agreement, promise or pledge	Nature of agreement [note, promise, pledge, etc.]	Amount of written agreement to make contribution	Total campaign-to-date [candidate committees] or year-to-date [others]

RESCINDED AGREEMENTS: Name, Ethics Commission # [if a committee] & address of failed contributor	Occupation and employer or principal interest or principal business activity (if no employer)	Date, if any, of agreement, promise or pledge	Nature of agreement [note, promise, pledge, etc.]	Amt of rescinded agreement to make contribution	Total campaign-to-date [candidate committees] or year-to-date [others]
				(            )	
				(            )	
				(            )	

PAID AGREEMENTS: Name, Ethics Commission # [if a committee] & address of paid contributor	Occupation and employer or principal interest or principal business activity (if no employer)	Date, if any, of agreement, promise or pledge	Nature of agreement [note, promise, pledge, etc.]	Amt of PAID agreement to make contribution	Total campaign-to-date [candidate committees] or year-to-date [others]
<b>*** Report paid agreements as contributions on Schedule A if from a person other than a committee and Schedule A1 if from a committee.***</b>					
				(            )	
				(            )	
				(            )	

<b>(a) Total written agreements to make contributions over \$50 in the aggregate during period</b>	\$	
<b>(b) Total written agreements to make contributions of \$50 or less in the aggregate during period</b>	\$	
<b>(c) TOTAL written agreements to make contributions during period [(a) + (b); enter on line 12a, column (a)]</b>	\$	













SCHOLARSHIP AND EXPENDITURE REPORT - LOANS DIVISION

FOR THE YEAR ENDING 1968

NAME OF SCHOLAR: \_\_\_\_\_

UNIVERSITY: \_\_\_\_\_

DEGREE: \_\_\_\_\_

DATE OF DEPARTURE: \_\_\_\_\_

DATE OF RETURN: \_\_\_\_\_

AMOUNT OF LOAN: \_\_\_\_\_

DATE OF REPAYMENT: \_\_\_\_\_

REMARKS: \_\_\_\_\_

APPROVED BY: \_\_\_\_\_

DATE: \_\_\_\_\_

OFFICE OF THE DIRECTOR OF EDUCATION

STATE OF CALIFORNIA

DEPARTMENT OF EDUCATION

OFFICE OF THE DIRECTOR OF EDUCATION

STATE OF CALIFORNIA

DEPARTMENT OF EDUCATION

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STATE OF CALIFORNIA

# FORM C-VR

74 Oklahoma Statutes, Ch. 62, App., § 257:10-1-14(a)(20)

## VERIFICATION of C-1R, C-3R or C-4R

State of Oklahoma )  
 ) ss.  
\_\_\_\_\_ County )

\_\_\_\_\_, of lawful age, being first duly sworn, states  
candidate's name [print or type]

that he/she is the candidate above named, that he/she has read the contents of the [check one]:

- campaign contributions and expenditures report [Form C-1R],
- statement of inactivity [Form C-3R] or
- last minute contributions/receipts report [Form C-4R]

filed by his/her candidate committee on \_\_\_\_\_, 20 \_\_\_\_\_, for the period from  
\_\_\_\_\_ through \_\_\_\_\_, and knows the contents thereof, and that the facts  
therein set forth are true and correct. The Year of the General or Special General Election Report for  
which the report or statement is being filed is \_\_\_\_\_.

\_\_\_\_\_  
Candidate's Signature

OR

\_\_\_\_\_  
Name of Committee for a Judicial Candidate

\_\_\_\_\_  
Signature of the Treasurer of Committee for a  
Judicial Candidate

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

**DELIVER OR FAX WITHIN FIVE  
BUSINESS DAYS OF FILING REPORT TO:**  
Ethics Commission  
B-5 State Capitol Oklahoma City, OK 73105  
(405) 521-3451 FAX (405) 521-4905

\_\_\_\_\_  
Notary Public (Seal)  
My commission expires \_\_\_\_\_  
Notary Commission # \_\_\_\_\_



**STATEMENT OF INACTIVITY**

[74 Oklahoma Statutes, Ch. 62 App., § 257:10-1-14(b)]

FORM

EC OFFICE USE

**C-3R**

REV 3/08

Please type or print clearly in black ink.

**FAX (405) 521-4905**

1. COMMITTEE NAME		Number Assigned by Ethics Comm.
2. ADDRESS (number and street)		
CITY, STATE, and ZIP CODE		
3a. CANDIDATE COMMITTEES ONLY: Candidate's Name	Office Sought	Year of General or Special General Election
b. BALLOT MEASURE COMMITTEES ONLY: Title or number of ballot measure supported or opposed		Year when ballot measure is on the ballot
4. TYPE(S) OF REPORT <input type="checkbox"/> Pre-election <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annual <input type="checkbox"/> Amended <input type="checkbox"/> FINAL		
Period Covered by Report. Beginning		Ending
5. <b>The committee listed in item #1 has received no contributions and has made no expenditures during the reporting period listed in item #4.</b>		
6. To the best of my knowledge and belief, the above is a true and correct compilation.		
A candidate, or the treasurer for a judicial candidate, must file a written verification [Form C-VR] within five business days of filing this report	Treasurer's or Deputy Treasurer's Signature [or electronic signature in lieu thereof]	Date
	X	X

**INSTRUCTIONS**

Please consult the Constitutional Ethics Rules [Section 257:1-1-1 et seq. of the Rules of the Ethics Commission, 74 Oklahoma Statutes, Ch. 62, App.] when completing this report. If you have questions, write or telephone the Ethics Commission (405) 521-3451.

**WHO MAY FILE C-3R:** A statement of inactivity [Form C-3R] may be filed by any committee in lieu of a Form C-1R for periods during which the committee (including a candidate committee) has no activity (contributions or expenditures). Unopposed candidates (those with no opposition in any election), who accept no contributions and make no expenditures, other than payment of a filing fee, may terminate their filing obligations by filing a form C-3R marked "FINAL" in item #4 any time after the final day for filing Declarations of Candidacy.

**WHEN TO FILE:** A statement of inactivity may be filed in lieu of a Form C-1R between the same due dates.

**HOW TO FILE:** By US mail, hand delivery, facsimile transmission, telegram or express delivery.

**WHERE TO FILE:** Ethics Commission, 2300 N Lincoln Blvd, Rm B-5, Oklahoma City, OK 73105-4812 (405) 521-3451  
! FAX (405) 521-4905

**PENALTIES:** **Late filing fees [74:4256]:** committees supporting or opposing candidates--up to \$100 per day to a max of \$1,000; ballot measure committees up to \$1,000 per day to a max of \$10,000;

**Inadvertent violations [rules]:** up to \$1,000 for disclosure violations; up to \$2,000 for failure to file/non-disclosure violations; and up to \$15,000 or 3 times the unlawful amount for others;

**Willful violations [257:1-1-11(a)(2) & (3)]:** up to \$50,000 or, for 3rd and subsequent, three times the unlawful contribution or expenditure, whichever is greater.

**OTHER FORMS:** statement of organization for candidate committees [Form SO-1]; other committees [Form SO-2]  
campaign contributions and expenditures report [Form C-1R]  
last minute contributions report [Form C-4R]  
last minute independent expenditures report [Form C-5R]  
verification of C-1R, C-3R or C4R [Form C-VR]

C-3R

STATEMENT OF INADVERTENCY

1. Name of the Contractor	
2. Name of the Employer	
3. Name of the Project	
4. Name of the Contract	
5. Name of the Contract Documents	
6. Name of the Contract Administrator	
7. Name of the Contract Administrator's Firm	
8. Name of the Contract Administrator's Address	
9. Name of the Contract Administrator's City	
10. Name of the Contract Administrator's State	
11. Name of the Contract Administrator's Zip	
12. Name of the Contract Administrator's Telephone	
13. Name of the Contract Administrator's Fax	
14. Name of the Contract Administrator's E-mail	
15. Name of the Contract Administrator's Website	
16. Name of the Contract Administrator's Other Information	

1. I, the undersigned, being duly sworn, depose and say that the above-named Contractor is a duly licensed contractor in the State of California, and that the above-named Employer is a duly licensed employer in the State of California, and that the above-named Project is a duly licensed project in the State of California, and that the above-named Contract is a duly licensed contract in the State of California, and that the above-named Contract Documents are a duly licensed set of contract documents in the State of California, and that the above-named Contract Administrator is a duly licensed contract administrator in the State of California, and that the above-named Contract Administrator's Firm is a duly licensed contract administrator's firm in the State of California, and that the above-named Contract Administrator's Address is a duly licensed address in the State of California, and that the above-named Contract Administrator's City is a duly licensed city in the State of California, and that the above-named Contract Administrator's State is a duly licensed state in the State of California, and that the above-named Contract Administrator's Zip is a duly licensed zip code in the State of California, and that the above-named Contract Administrator's Telephone is a duly licensed telephone number in the State of California, and that the above-named Contract Administrator's Fax is a duly licensed fax number in the State of California, and that the above-named Contract Administrator's E-mail is a duly licensed e-mail address in the State of California, and that the above-named Contract Administrator's Website is a duly licensed website in the State of California, and that the above-named Contract Administrator's Other Information is a duly licensed other information in the State of California.





# INDEPENDENT EXPENDITURES REPORT

[NON-CANDIDATE COMMITTEES OR PERSON ONLY]  
 [74 Oklahoma Statutes, Ch. 62 App., § 10-1-16]

FAX (405) 521-4905

FORM	EC OFFICE USE
<b>C-5R</b>	
REV 03/08	

Please type or print clearly in black ink.

1. COMMITTEE'S OR PERSON'S NAME	Number Assigned by Ethics Comm.
2. ADDRESS (number and street)	
CITY, STATE, and ZIP CODE	

3. INDEPENDENT EXPENDITURES. Give the following information about independent expenditures of \$500 or more made after the closing date of a pre-election reporting period but before the election for which the pre-election report was due:

Date of purchase	Items purchased and description	Name and address of the entity to which expenditure was made	Beneficiary [candidate or issue]	Purpose [support or opposition]	Amount

4. Financial Institution name and address: Persons, other than committees registered with the Ethics Commission, must give the name and address of the financial institution at which the required segregated account is maintained and from which independent expenditure(s) reported above were made:

5. Certification: To the best of my knowledge and belief, the above is a true and correct compilation.

Signature, or electronic signature in lieu thereof, of Treasurer, Deputy Treasurer [in the Treasurer's absence] or Person Making Expenditure	Date
X	X

### INSTRUCTIONS

**WHO MUST FILE**

Any person or committee that makes an independent expenditure of \$500 or more after the closing date of a pre-election reporting period but before the election for which the pre-election report was due.

**NOTE:** The independent expenditures reported above must also be reported on the next Form C-1R report filed by the person/committee.

**WHEN TO FILE:**

Within 24 hours of making the independent expenditure. Faxed reports will be deemed timely if received by midnight of the date due.

**RESTRICTIONS ON CORPORATIONS AND LABOR ORGANIZATIONS**

Effective July 1, 2005, a corporation may not use its treasury funds [profit] and a labor organization may not use its treasury funds [dues] to make independent expenditures; however, either may establish a separate segregated fund and solicit contributions into it for the purpose of making independent expenditures.

**OTHER REPORTS:**

- SO-2 statement of organization for non-candidate committees
- C-1R campaign contributions and expenditures report
- C-3R statement of inactivity
- C-4R last minute contributions/receipts report
- C-6R report of electioneering communications

**WHERE TO FILE: \* FAX (405) 521-4905**

ETHICS COMMISSION, 2300 North Lincoln Blvd, Rm B-5  
 Oklahoma City, OK 73105-4812 \* (405) 521-3451

**WHAT IS AN INDEPENDENT EXPENDITURE:**

"Independent expenditure" means an expenditure made by a person/committee to advocate the election or defeat of a clearly identified candidate, but which is not made to, controlled by, coordinated with, requested by, or made upon consultation with a candidate, committee, treasurer, deputy treasurer or agent of a committee [cannot be made by a candidate committee].

**HOW TO FILE:**

By US mail, hand delivery, facsimile transmission, telegram, express delivery or web-based filing [OCRS].

**COORDINATION RESULTS IN CONTRIBUTION**

Neither an independent expenditure nor an electioneering communication [one that does not call for the election or defeat of a candidate(s) or ballot measure(s) and is made within 30 days of a primary or 60 days of a general or special election] may be made to, controlled by or coordinated with, requested by, or made upon consultation with a candidate or treasurer, deputy treasurer or agent of a candidate committee, state or local political party or ballot measure committee without becoming an in-kind contribution to the candidate, political party or ballot measure committee. In-kind contributions to a candidate committee or political party are subject to a \$5,000 campaign limit [candidates] and annual limit [parties/PACs] and may not be made by corporations.

**FINANCIAL INSTITUTION**

A segregated account which contains only funds which comply with the Oklahoma contribution limits must be maintained from which independent expenditures are made.

C-28

INDEPENDENT EXPERTS REPORT

REGIONAL

123456789

10/15/2010

11/15/2010

12/15/2010

01/15/2011

02/15/2011

03/15/2011

04/15/2011

05/15/2011

06/15/2011

07/15/2011

08/15/2011

09/15/2011

10/15/2011

CONCLUSIONS

Based on the information provided...

...

The findings of this report are based on the information provided to the independent experts. The information provided was reviewed and analyzed in accordance with the applicable standards and procedures. The findings are based on the information provided and do not constitute a warranty or guarantee of any kind.

The findings of this report are based on the information provided to the independent experts. The information provided was reviewed and analyzed in accordance with the applicable standards and procedures. The findings are based on the information provided and do not constitute a warranty or guarantee of any kind.

RECOMMENDATIONS

...

It is recommended that the client...

It is recommended that the client...

DISCLAIMER

...

This report is intended for the use of the client and is not to be distributed to any other party without the prior written consent of the independent experts. The independent experts do not assume any liability for any loss or damage, whether direct or indirect, arising from the use of this report. The independent experts are not responsible for any loss or damage, whether direct or indirect, arising from the use of this report.

This report is intended for the use of the client and is not to be distributed to any other party without the prior written consent of the independent experts. The independent experts do not assume any liability for any loss or damage, whether direct or indirect, arising from the use of this report. The independent experts are not responsible for any loss or damage, whether direct or indirect, arising from the use of this report.

CONTACT INFORMATION

...

For more information, please contact...

For more information, please contact...

123456789

10/15/2010



# ETHICS COMMISSION

2300 North Lincoln Blvd, Rm B-5

Oklahoma City, OK 73105-4812

(405) 521-3451

FAX (405) 521-4905

FORM

**C-6R**

REV 3/08

## REPORT OF ELECTIONEERING COMMUNICATIONS

### INSTRUCTIONS

#### WHO MUST FILE

Any person or committee making an electioneering communication of \$5000 or more within 30 days before a primary or runoff primary election or within 60 days of a general or special election.

#### RESTRICTIONS ON CORPORATIONS AND LABOR ORGANIZATIONS

A corporation may not use its treasury funds [profit] and a labor organization may not use its treasury funds [dues] to make electioneering communications except for grassroots lobbying communications and commercial business advertisements. Either may establish a separate segregated fund and solicit contributions into it for the purpose of making electioneering communications not within the two exceptions.

#### LIMITS

There is no limit on what can be given to a person, committee or separate segregated fund for electioneering communications. Nor is there a limit on what can be spent for electioneering communications.

#### IN THE NAME OF ANOTHER PROHIBITED

No person shall make a disbursement for an electioneering communication in the name of another person or knowingly permit his, her or its name to be used to effect an electioneering communication, and no person shall knowingly accept a payment or promise of a payment for an electioneering communication from one person in the name of another person.

#### DISCLAIMER REQUIRED

**About a candidate(s).** "This advertisement is not authorized or approved by any candidate."

**About a political party.** "This advertisement is not authorized or approved by any political party."

**About a ballot measure(s).** "This advertisement is not authorized or approved by any ballot measure committee."

#### DISTINGUISHED FROM INDEPENDENT EXPENDITURES

An independent expenditure calls for the election or defeat of a clearly identified candidate(s). An electioneering communication does not call for the election or defeat of any candidate or ballot measure.

#### COORDINATION RESULTS IN CONTRIBUTION

Neither an electioneering communication nor an independent expenditure may be made to, controlled by or coordinated with, requested by, or made upon consultation with a candidate or treasurer, deputy treasurer or agent of a candidate committee, state or local political party or ballot measure committee without becoming an in-kind contribution to the candidate, political party or ballot measure committee. In-kind contributions to a candidate committee or political party are subject to a \$5,000 campaign limit [candidates] and annual limit [parties/PACs] and may not be made by corporations.

#### WHERE TO FILE \* FAX (405) 521-4905

ETHICS COMMISSION, 2300 North Lincoln Blvd, Rm B-5  
Oklahoma City, OK 73105-4812 \* (405) 521-3451

#### WHAT IS AN ELECTIONEERING COMMUNICATION

"Electioneering communication" means any communication or series of communications which are sent by handbills or direct mail, broadcast by radio, television, cable or satellite; or appear in a newspaper, magazine or on a billboard which refer to one candidate or one or more of the same clearly identified candidates for state office or one ballot measure or one or more of the same state ballot measure; is made within 60 days before a general or special election for the office sought by the candidate(s) or ballot measure(s); or 30 days before a primary or runoff primary election for the office sought by the candidate(s) and is targeted to the relevant electorate.

#### WHAT IS NOT AN ELECTIONEERING COMMUNICATION

A communication or series of communications appearing in a news story, commentary, or editorial distributed through the facilities of any broadcasting station, newspaper, magazine, or other periodical publication, unless such facilities are owned or controlled by any political party, political action committee, candidate, candidate committee or ballot measure committee is not an electioneering communication.

A communication or series of communications which constitute an expenditure or an independent expenditure [clearly identifies one or more candidates or ballot measures and calls for the election or defeat of the candidate or ballot measure] is not an electioneering communication.

A communication or series of communications which constitute a candidate debate or forum or which solely promotes such a debate or forum and is made by or on behalf of the person sponsoring the debate or forum is not an electioneering communication.

#### MUST BE TARGETED TO THE RELEVANT ELECTORATE

An electioneering communication or series of electioneering communications must be targeted to the relevant electorate as follows:

**For a candidate for the House of Representatives,** to 2,500 or more persons in the district the candidate seeks to represent.

**For a candidate for the State Senate, district attorney, district judge, or associate district judge,** to 5,000 or more persons in the district the candidate seeks to represent.

**For a statewide elective office or ballot measure,** to 25,000 or more persons in the State of Oklahoma.

#### WHEN TO FILE

Within 24 hours of making the electioneering communication. Faxed reports will be deemed timely if received by midnight of the date due.

#### HOW TO FILE

By US mail, hand delivery, facsimile transmission, telegram or express delivery.

#### FINANCIAL INSTITUTION

A segregated account — which must contain only funds which comply with the Oklahoma contribution limits — must be maintained from which electioneering communications are made. It must be disclosed on this form.

**STATE CANDIDATE COMMITTEES ONLY**

**STATEMENT OF INTENT**

**FOR MINIMAL CALENDAR YEAR ACTIVITY**

[74 Oklahoma Statutes, Ch. 62, App. Section 257:10-1-13(c)(4)(A)]

NAME OF STATE CANDIDATE COMMITTEE

NAME OF TREASURER      YEAR OF GENERAL OR SPECIAL GENERAL ELECTION

TREASURER'S ADDRESS      DAYTIME TELEPHONE NUMBER

TREASURER'S CITY, STATE AND ZIP CODE

The above referenced candidate committee **DOES NOT INTEND TO** accept contributions nor to make expenditures in excess of \$500 in the aggregate for calendar year \_\_\_\_\_ (cannot be year of candidate's General or Special [year of minimal activity] General Election).

SIGNATURE OF TREASURER

MAIL OR FAX TO:

Ethics Commission  
2300 N Lincoln Blvd, Rm B5  
Oklahoma City, OK 73105-4812  
FAX: (405) 521-4905

STATE CANDIDATE COMMITTEE ONLY

STATEMENT OF INTENT  
FOR MINIMAL CALENDAR YEAR ACTIVITY

NAME OF STATE CANDIDATE COMMITTEE

NAME OF TREASURER YEAR OF GENERAL OR SPECIAL ELECTION

TREASURER'S ADDRESS DAYTIME TELEPHONE NUMBER

TREASURER'S CITY, STATE AND ZIP CODE

The above named candidate committee does not intend to solicit contributions or in-kind contributions for the calendar year ending on the date of the general election. This statement is being filed for the calendar year ending on the date of the general election.

General Election

SIGNATURE OF TREASURER

NAME OF TREASURER

State of Oklahoma  
Capital Building, 3rd Floor  
Oklahoma City, Oklahoma 73105  
Phone: (405) 525-4100



**5. Doing business with lobbyist or lobbyist principal.** Give the name of any registered lobbyist or lobbyist principal with whom the filer has engaged in business from which income exceeding five thousand dollars (\$5,000) in amount or value was received [see manual for exceptions].

**6. Honoraria:** Give the name of any entity from which an honorarium or honoraria, valued at more than two hundred dollars (\$200) over and above actual expenses paid to the filer, was received and the value of any such honorarium [cash or cash equivalent honoraria to elective officers prohibited unless made as part of the officer's private occupation]:

Name of entity	Value of honoraria

**7. Securities held.** Give the name of every business or entity in which the filer held securities valued at five thousand dollars (\$5,000) or more during the reporting period; provided, however, mutual funds and similar securities need be identified only by the type of investments made by the mutual fund or similar security:

**8. Clients represented before regulatory state government agencies.** Give the name and address of all clients represented by the filer or the filer's spouse before a regulatory state governmental agency, as listed in Section 3 of Chapter 23 of this title, for compensation exceeding one thousand dollars (\$1,000) in amount or value during the preceding calendar year:

Name of client	Address of client

**9. Fiduciary relationships.** List every officership, directorship, trusteeship, or other fiduciary relationship held in an entity doing business with a governmental entity with which the filer is associated during the disclosure period and the term of such officership, directorship, trusteeship, or other fiduciary relationship:

Fiduciary relationship	Name of entity	Term of fiduciary relationship

**10. Licenses and permits.** List all professional or occupational permits or licenses held by the filer:

**11. Certification.** I hereby certify that the statements contained herein are true and correct to the best of my knowledge.

FILER'S signature

Date

X





This publication, printed by Central Printing, is issued by the  
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Publications Clearinghouse of the Oklahoma Department of Libraries.



**ETHICS COMMISSION**  
2300 North Lincoln Blvd, Rm B-5  
Oklahoma City, OK 73105-4812  
(405) 521-3451 ! FAX (405) 521-4905

E-mail: Marilyn.Hughes@ethics.ok.gov – Director  
Rebecca.Adams@ethics.ok.gov – General Counsel  
Darey.Roberts@ethics.ok.gov – Complaints/Audits  
Patti.Bryant@ethics.ok.gov – Campaign Reporting/Assessments  
Charles.Knight@ethics.ok.gov – Electronic Filing/Website  
Janice.Gafford@ethics.ok.gov – Financial Disclosure/Electronic Filing  
Merlyn.Rios@ethics.ok.gov – Lobbyists/Copies

Website: **www.ethics.ok.gov**  
Campaign Electronic Filing Website: **www.ok.gov/ethics**  
Lobbyist Electronic Filing Website: **www.ok.gov/ethics/lobbyist**